

12-10-01

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.: 5405-252

DATE: October 19, 2001

# UTILITY PATENT APPLICATION TRANSMITTAL LETTER AND FEE TRANSMITTAL FORM (37 CFR 1.53(b))

BOX PATENT APPLICATION

Commissioner for Patents

Washington, DC 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is:

- ☒ a patent application, which claims the benefit of prior provisional application no.: 60/257,108; filed 12/21/2000.
- ☐ a Continuation    ☐ a Divisional    ☐ a Continuation-in-Part (CIP)

☐ A Small Entity Statement(s) was filed in the prior application; Status still proper and desired.

Inventor(s) or Application Identifier: David Rizzieri, Darell D. Bigner and Michael Zalutsky

TITLE OF THE INVENTION (280 characters maximum)

ANTI-TENASCIN MONOCLONAL ANTIBODY THERAPY FOR LYMPHOMA

Correspondence Address:



20792

PATENT TRADEMARK OFFICE

Enclosed are:

1. ☒ Application Transmittal Letter and Fee Transmittal Form (*A duplicate is enclosed for fee processing*)
2. ☒ 27 pages of Specification (including 21 claims)
3. ☐ sheets of Formal Drawings (35 USC 113)
4. ☐ Oath or Declaration
  - a. ☐ newly executed (*original or copy*)
  - b. ☐ copy from prior application (37 CFR 1.63(d) (*for continuation/divisional*) [Note Box 5 Below])
  - c. ☐ DELETION OF INVENTOR(S) (*Signed statement deleting inventor(s) named in the prior application*)
5. ☐ Incorporation By Reference (*useable if box 4b is checked*)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (*Appendix*)
7. ☐ Assignment papers (*cover sheet(s) and document(s)*)
8. ☒ Applicant claims Small Entity Status. See 37 CFR 1.27.

9. ☐ Information Disclosure Statement, PTO-1449, and references cited
10. ☐ Preliminary Amendment (*Please enter all claim amendments prior to calculating the filing fee.*)
11. ☐ English Translation Document
12. ☐ Certified Copy of
13. ☐ Sequence Listing/ Sequence Listing Diskette
- a. ☐ computer readable copy
- b. ☐ paper copy
- c. ☐ statement in support
14. ☐ An Associate Power of Attorney
15. ☒ Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)
16. ☒ Other: Application filed under 37 CFR 1.41(c).

The fee has been calculated as shown below:

	Column 1 No. Filed	Column 2 No. Extra	Small Entity Rate Fee	Large Entity Rate Fee
BASIC FEE			\$370.00	\$740.00
TOTAL CLAIMS	21 - 20 =	1	x 09 = \$ 9.00	x 18 = \$
INDEP CLAIMS	2 - 3 =	0	x 42 = \$	x 84 = \$0
<input type="checkbox"/> MULTIPLE Dependent Claims Presented			+ 140 = \$	+ 280 = \$
If the difference in Col. 1 is less than zero, Enter "0" in Col. 2			Total \$ 379.00	Total \$

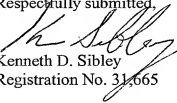
☒ A check in the amount of **\$379.00** to cover the filing fee is enclosed.

☐ A check in the amount of \$ is enclosed to cover the filing fee, PLUS the Assignment Recordation fee (\$40.00).

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0220.

- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

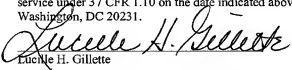
Respectfully submitted,

  
Kenneth D. Sibley  
Registration No. 31,665

#### CERTIFICATE OF EXPRESS MAILING

Express Mail Label No. EL920742298US; Date of Deposit: October 19, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner For Patents, Washington, DC 20231.

  
Lucille H. Gillette

ATTORNEY DOCKET NO. 5405-252

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: David Rizzieri et al.  
Serial No. To be assigned  
Filed: Concurrently herewith  
For: **ANTI-TENASCIN MONOCLONAL ANTIBODY  
THERAPY FOR LYMPHOMA**

Date: October 19, 2001


Box Patent Application  
Commissioner for Patents  
Washington, DC 20231

APPLICATION FILED UNDER 37 CFR 1.41(c)

Sir:

The above-identified application is being filed on behalf of the inventors,  
**David Rizzieri**, residing at Chapel Hill, North Carolina; **Darell D. Bigner**, residing at  
Mebane, North Carolina; and **Michael Zalutsky**, residing at Chapel Hill, North Carolina,  
under the provisions of 37 CFR 1.41(c). A Declaration and Power of Attorney from the  
inventors will follow, 37 CFR 1.63.

Respectfully submitted,

  
Kenneth D. Sibley  
Registration No. 31,665

Correspondence Address:



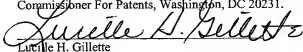
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Lucille H. Gillette